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PATENT APPLICATION
Attorney's Doc. No. 9898-189

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoo-Sang HWANG and Su-Jin AHN

Serial No. 09/955,388

Examiner: Anh D. Mai

Confirmation No. 9987

Filed: September 17, 2001

Group Art Unit: 2814

For: SEMICONDUCTOR DEVICE HAVING CONTACT PLUG AND
METHOD FOR MANUFACTURING THE SAME

TRANSMITTAL LETTER

Box DD
Assistant Commissioner for Patents
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Return Postcard
- ☒ Statement on Filing Information Disclosure Statement (37 CFR 1.97(e))
- ☒ (Supplemental) Information Disclosure Statement
- ☒ PTO Form 1449 ☒ References cited (1)
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM P.C.

Alan T. McCollom
Reg. No. 28,881

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
(503) 222-3613

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☐ ASSISTANT COMMISSIONER FOR TRADEMARKS, 2900 CRYSTAL DRIVE, ARLINGTON, VA 22202-5513
ON 3-6-03

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ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
☒ Statement under 37 CFR 1.97 (e), or
☐ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

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PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

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☐ ASSISTANT COMMISSIONER FOR
TRADEMARKS, 2900 CRYSTAL DRIVE,
ARLINGTON, VA 22202-3513
ON 3-6-03



PATENT APPLICATION

Atty. Docket No.: 9898-189

Serial No. 09/955,388

Applicant: Yoo-Sang HWANG and Su-Jin AHN

Confirmation No. 5352

Filing Date: September 17, 2001

Group: 2814

INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)

U.S. PATENT DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>Document</u> <u>Number</u>	<u>Issue</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub-</u> <u>Class</u>
_____	_____					

FOREIGN PATENT DOCUMENTS

<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country</u>	<u>Name</u>
JP5090207	4-9-1993	Japan	Tomonori

OTHER DOCUMENTS

<u>Exam</u> <u>Init</u>	<u>Ref</u>	<u>(Including Author, Title, Date, Pertinent Pages, etc.)</u>
_____	_____	English translation of Abstract for Japanese Patent No. JP5090207

Examiner: _____

Date Considered: _____

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Commissioner for Patents
Washington, D.C. 20231

STATEMENT ON FILING INFORMATION DISCLOSURE STATEMENT
(37 CFR 1.97(e))

I, Alan T. McCollom, the person signing below state that:

each item of information contained in the Information Disclosure Statement submitted herewith was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement

Applicant respectfully requests consideration of the accompanying Information Disclosure Statement.

The person making this Statement is the attorney who signs below on the basis of the information in the attorney's file.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Alan T. McCollom
Reg. No. 28,881

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